

1 Test of goodness of the NGA ground motion equations to predict the strong-motions of the 2012
2 Ahar–Varzaghan dual earthquakes in north-west of Iran

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10

11 **Abstract**-In the first part of this study, a set of 87 ground-motion records, with closest distance
12 to the rupture plane (Rrup) less than 200 km and averaged shear-wave velocity over the top 30
13 meters of the subsurface (Vs30) between 175 to 1400 m/s, recorded during the 2012 Ahar-
14 Varzaghan dual earthquakes($M_{w1}=6.4$, $M_{w2}=6.3$) were taken into account in order to examine
15 the predictive capabilities of the Next Generation Attenuation (NGA) Ground Motion Prediction
16 Equations (GMPEs) via a set of comparative analyses and tests. The first applied method to
17 assess the performance of the NGA GMPEs is based on the intra-event residual analysis. The
18 primary database (i.e. 87 records) was also used to develop an event-specific GMPE in the case
19 of the Ahar-Varzaghan dual earthquakes by means of regression analyses. The derived event-

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20 specific GMPE has been compared with the NGA GMPEs for two different site conditions i.e.
21 $V_{s30} > 375$ m/s (rock site) and $V_{s30} < 375$ m/s (soil site). The residual analysis results indicate
22 that the NGA GMPEs perform better in predicting data recorded at rock sites compared to soil
23 sites. For soil sites and at large periods ($T=2.0$ s), the observed spectral accelerations are over-
24 predicted by the NGA GMPEs. Furthermore, in the second part of this study, in order to select
25 the most adequate GMPEs, 14 strong-motion records from the 1997 Ardebil earthquake
26 ($M_w=6.1$) were added to the primary database. The implementation of the LH and LLH
27 methods, as modern likelihood based ranking assessment techniques, as well as the Nash-
28 Sutcliffe index reveals that the NGA GMPEs show good compatibility at short-medium periods
29 ($T < 1.0$ s) with the data recorded during the 2012 Ahar-Varzaghan dual and 1997 Ardebil
30 earthquakes (i.e. 101 records). However, in the long-period range, the dispersion in the data does
31 not allow the authors to draw a comprehensible conclusion.

32 **Key words:** Ground Motion Prediction Equation (GMPE), Next Generation Attenuation (NGA),
33 Residual analysis, LH and LLH methods, Ahar-Varzaghan dual earthquakes, Ardebil earthquake,
34 Iran

35

36 **Introduction**

37 An accurate prediction of expected ground-motion parameters plays an important role in
38 the reliable assessment of any Seismic Hazard Analysis (SHA), particularly in specific regions
39 with high levels of seismicity, e.g. Iranian seismic plateau. The Ground Motion Prediction
40 Equations (GMPEs) generally predict ground-motion intensities, such as Peak Ground
41 Acceleration (PGA), Peak Ground Velocity (PGV), and response Spectral Acceleration (SA), as

42 a functional form of magnitude, site-to-source distance, site condition, and other seismological
43 parameters. In recent decades, numerous GMPEs have been developed to have more descriptive
44 and complex parameters in particular forms. A comprehensive worldwide summary of GMPEs
45 was given by J. Douglas in 2011, which includes the characteristics of 289 empirical GMPEs for
46 the prediction of PGA and 188 empirical models for the prediction of elastic response spectral
47 ordinates developed between 1964 to 2010 (Douglas, 2011).

48 In 2008, the Next Generation Attenuation project, which was initiated by the Pacific
49 Earthquake Engineering Research (PEER) center, developed five new ground motion models
50 through a comprehensive and highly interactive research program, for shallow crustal
51 earthquakes (see Power *et al.* 2008). The NGA database, that has been used to develop the NGA
52 GMPEs, is relatively large i.e. 3551 recordings from 173 earthquakes (A few Iranian events are
53 also included in this database). These models are: Abrahamson and Silva (2008) (AS08), Boore
54 and Atkinson (2008) (BA08), Campbell and Bozorgnia (2008) (CB08), Chiou and Youngs
55 (2008) (CY08), and Idriss (2008) (I08). [A1]The characteristics of the NGA GMPEs are
56 summarized in Table 1 including the applicable ranges of magnitude, distance measure, and
57 shear-wave velocity. It should be noted that the I08[A2] model only includes rock sites (assumed
58 to be the sites with $V_{s30} \geq 450$ m/s). This significant difference isolates the Idriss ground motion
59 model (2008) from the other models because it can only be applied to rock sites. Therefore, this
60 model is excluded in this paper for further investigations. The NGA GMPEs are worldwide
61 ground motion prediction models and the only constraint is that the region under investigation
62 should be tectonically active with earthquakes occurring in the shallow crust. Therefore, several
63 quantitative comparisons of the NGA GMPEs have been accomplished by researchers for
64 different regions and scenarios during last decade (Bindi *et al.*, 2006; Scassera *et al.*, 2009;

65 Shoja-Taheri *et al.*, 2010; Kaklamanos and Baise, 2011; Mousavi *et al.*, 2012). However, there
66 are some studies with different results on the NGA GMPEs application for the Iranian plateau
67 data sets (Shoja-Taheri *et al.*, 2010; Mousavi *et al.*, 2012). An inherent reason for this
68 inconsistency may come from the fact that the NGA models are considerably more complicated
69 than previous GMPEs. They usually require several input parameters (Kaklamanos *et al.*, 2011)
70 which are not precisely known in the case of latest earthquakes, at least in the case of Iranian
71 earthquakes. Estimating unknown input parameters, when implementing the NGA GMPEs in
72 engineering practice, is obviously a crucial task to make an appropriate judgment on their
73 performance (Kaklamanos *et al.*, 2011). Therefore, one solution is to evaluate the NGA models
74 for new events in which their seismic characteristics are very well known (see e.g. Wang *et al.*
75 2010 for the 2008 Wenchuan earthquake; Massa *et al.* 2012 and Bindi *et al.* 2006 [A3] for the 2009
76 L'Aquila earthquakes; Liao and Meneses 2012 for the 2010 El Mayor–Cucapah earthquake and
77 Stewart *et al.* 2013 for the 2011 Tohoku-oki earthquake, and also the subject of the current
78 study).

79 The Azerbaijan province, which is located in north-west of Iran, is one of the most active
80 seismic regions in the country. It has experienced many seismic events during the historical and
81 instrumental periods. However, before the Ahar-Varzaghan 2012 dual earthquakes, and since the
82 early 1980's when the first accelerometers were installed in Iran, only one earthquake larger than
83 $M = 6$ has been well recorded instrumentally in this region (the 1997 Ardebil earthquake). This
84 scarcity of strong-motion data of moderate to large magnitude earthquakes has severely
85 hampered the reliable prediction of seismic hazard in the region. The recent dual earthquakes
86 with magnitudes $M_w=6.4$ and $M_w=6.3$ struck the Ahar-Varzaghan area in the Azerbaijan
87 province on August 11, 2012 (Copley *et al.*, 2013). The earthquakes caused about 327 casualties

88 and destroyed more than 20 villages severely, and damaged many buildings in the Ahar and
89 Varzaghan towns located around 20 km of the main shocks. The addition of the Ahar-Varzaghan
90 dual earthquakes data provides a unique opportunity for researchers to study the characteristics
91 of strong-motions for moderate to large magnitude earthquakes ($M > 6$) in north-west of Iran.
92 Also, it is worth to say that we usually refer to a pair of similarly sized earthquake shocks that
93 occur relatively closely spaced in time and location as an earthquake "doublet". This is distinct
94 from the normal pattern of earthquake aftershocks. Usually a doublet is defined, as a pair of
95 events with a magnitude difference of no more than 0.2 to 0.4 units, spatial separation smaller
96 than 100 km, and temporal separation of a few years, depending on how large the considered
97 events are (Astiz and Kanamori, 1984). Kagan and Jackson (1999) specified doublets as pairs of
98 large earthquakes with centroids (center of the deformation release) closer than their rupture size
99 and occurring within a time interval shorter than the recurrence time inferred from plate motion.
100 In the current case, although the second earthquake may be described as an aftershock, it shows
101 that plenty of elastic energy remained after the first event (see Kagan and Jackson 1999 for
102 similar cases).

103 Therefore, this earthquake doublet has been comprehensively investigated in this study in
104 order to evaluate the NGA GMPEs. The data set is described in the next section and an event-
105 specific GMPE has been developed using the doublet records. Finally, a variety of goodness-of-
106 fit tests are provided in order to draw a conclusion on the applicability of the NGA GMPEs in the
107 region. As a matter of fact, GMPEs relate ground-motion parameters (e.g. PGA, SA) to three
108 different components, namely, seismic source parameters, local site conditions, and propagation
109 path effects (Kaklamanos *et al.*, 2011). The first component relates to the size and source
110 mechanism of the event. The second component describes the effect of the upper hundreds of

111 meters of rock and soil and the surface topography at the site, and the last one describes the
112 decrease in the amplitude of seismic waves with distance. It is worth noting that a basic
113 assumption in the NGA models is that scaling of the spectral values with distance out to about 70
114 km is the same for shallow earthquakes in active crustal regions around the world. This
115 assumption allows us to combine data from different parts of the world into a single model. At
116 distances larger than 70 km, we know that there are strong regional differences in the
117 attenuation. Here, the LH method of Scherbaum *et al.* (2004) has been applied in the case of two
118 distance bins, i.e. less than 70 km and more than 70 km. This allows us to precisely examine the
119 effect of differences in the anelastic decay in different regions (i.e. those of the NGA database
120 and the north-west of Iran). To enrich the database, also 14 records from the 1997 Ardebil
121 earthquake was added when applying statistical tests (i.e. the LH, LLH and the Nash–Sutcliffe
122 model efficiency coefficient).

123

124 **Data Set**

125 The strong-motions of the Ahrar-Varzaghan dual earthquakes were recorded at more than
126 140 free-field stations of the national Iranian Strong Motion Network (according to the Building
127 and Housing Research Center, BHRC website, last accessed December 2012). All of the strong-
128 motion data, obtained during the Ahar-Varzaghan dual earthquakes, were recorded by digital
129 Kinematics SSA-2 accelerographs. Ground motions with R_{rup} less than 200 km were
130 implemented in this study in order to investigate the predictive capabilities of the NGA GMPEs.
131 Aftershock locations indicate that two main shocks ruptured the ~25 km long Ahar fault
132 characterized by strike ~N270°E and dip ~80-90°N. The second large earthquake had a very

133 similar magnitude (M_w 6.3) and occurred on 11 August at 12:34.35 UT, i.e. 12 minutes later, on
134 a parallel fault located ~ 1 km south of the Ahar fault (see Figure 1). The first event was close to
135 pure strike-slip, and the second was an oblique combination of thrust and strike-slip motion.

136 Various criteria can be used to define rock and soil sites. The most widely used criteria are
137 based on the average shear-wave velocity over the top 30 m, V_{s30} (available for 35 stations that
138 recorded 58 three-component records). Alternatively, a site can be classified in terms of its
139 fundamental resonance frequency. Such an approach has been proposed as a proxy of V_{s30} by
140 Zare *et al.* (1999) and recently modified by Ghasemi *et al.* (2009a) for the Iranian plateau. The
141 method has been used here to classify stations with no information about V_{s30} (i.e. 16 stations
142 that recorded 29 three-component records). Figure 2 shows the distribution of V_{s30} versus the
143 distance measure. Therefore, in this study, 87 ground-motion records with R_{rup} less than 200 km
144 and V_{s30} between 175 to 1400 m/s, recorded during the two earthquake events of Ahar-
145 Varzaghan 2012, with the averaged moment magnitude (M_w) of 6.35, were used to assess the
146 capability of the NGA GMPEs developed for shallow crustal earthquakes in tectonically active
147 regions (see Figure 1). The name, code number, fault distance (R_{jb}) and V_{s30} of these stations
148 are listed in the Appendix Table A1. The uncorrected acceleration time series, recorded by a
149 given station, were corrected for the instrument response and baseline, following a standard
150 algorithm (Trifunac and Lee, 1973). Multi-resolution wavelet analysis (Ansari *et al.*, 2010) was
151 used to remove undesirable noise from the raw signals. The capabilities of the modified wavelet
152 de-noising method in correction of highly noisy acceleration records were studied in detail by
153 Ansari *et al.* (2010). According to Ansari *et al.* (2010) in the conventional filtering method, some
154 low and high frequency components of the motion are removed from the signal and other
155 frequency components of the signal remain unchanged and it is assumed that the energy of the

156 noise is concentrated only in the low and high frequencies. However, in applying the wavelet de-
157 noising method, it is assumed that it is possible to have noise in all frequency components of the
158 motion, just like the case of white noise.

159 Table 2 summarizes the input parameters for the NGA models obtained from various
160 sources. A finite-fault plane is assumed and all distance calculations are based on the geometry
161 of the fault plane relative to the station location. The strike of the fault is similar to the value
162 reported by Copley *et al.* (2013) for the first Ahar-Varzaghan event, and the values reported by
163 the Harvard Seismology for the second Ahar-Varzaghan and the 1997 Ardebil events. These are
164 also compatible with the surface traces (Copley *et al.*, 2013; Hessami and Jamali, 2006). The
165 focal depth was used to fix the fault plane position for all three cases, in the vertical dimension.

166

167 **The Ahar-Varzaghan 2012 event-specific GMPE**

168 The authors have proposed an event-specific GMPE in this study in order to have a logical
169 and reasonable basis for the purpose of comparison between the selected NGA GMPEs. The
170 functional form of the event-specific was chosen based on previous studies with concerning
171 about the validation of GMPEs (Mousavi *et al.*, 2012; Ghasemi *et al.*, 2009b). The final form of
172 the event-specific GMPE is similar to Ghasemi *et al.* (2009b) and the optimized coefficients
173 show good similarity with the original form of GMPE. Eq.(1) indicates the functional form of the
174 event-specific GMPE with the optimized coefficients derived from the 87 free-field recordings
175 with Rrup distances and Vs30 ranging between (and about) 10 to 200 km and 175 to 1400 m/s,
176 respectively.

$$\ln(Y) = a_0 + a_1 \ln(R_{rup} + a_2) + a_3 SR + a_4 SS + \varepsilon \quad (1)$$

177 where, Y is the simple geometric mean of 5% damped spectral acceleration of two horizontal
 178 components in g unit for period T ranging between 0.0 to 3.0 s. The variables SR and SS take on
 179 values as: SR=1 and SS=0 for rock sites and SR=0 and SS=1 for soil sites. In the current study,
 180 the simple geometrical mean has been used for all GMPEs since some studies have shown that
 181 the ratio of this measure of the horizontal component of the ground motion over the measure of
 182 the geometric mean which is used in the NGA models (GMRotI50, Boore et al. 2006), is near
 183 unity at all periods (Beyer and Bommer 2006). Although the NGA GMPEs go beyond 3.0 s, their
 184 database at long periods is limited (Abrahamson and Silva, 2008). Also the computed values of
 185 SA for long periods are more sensitive to noise (Boore and Atkinson, 2007). Accordingly, in this
 186 paper, the analysis is focused on spectral periods up to 3.0 s (see Kaklamanos and Baise, 2011
 187 for a similar case). It should also be emphasized that for most engineering applications the
 188 adequate periods are between 0.1 and 3.0 s.

189 In this study, sites were grouped into two categories including rock and soil. The site
 190 classification is the same as the ground categories specified by the Iranian code of practice for
 191 standard seismic resistant design of buildings (Standard No. 2800). Site classes I and II with
 192 $V_{s30} \geq 375$ m/s were combined together and assumed as rock sites, and categories III and IV
 193 with $V_{s30} < 375$ m/s were combined together and considered as soil sites. Constant coefficients
 194 a_0 , a_1 , a_2 , a_3 , and a_4 were obtained by regression analyses and ε is an error term. The results of the
 195 regression analysis are shown in Table 3 and the median spectral accelerations, predicted by Eq.
 196 (1), are shown in Figure 3 for PGA and spectral ordinates in 0.5, 1.0, and 2.0 s periods. In Figure
 197 3, a single V_{s30} , for both rock and soil site classification, was used to obtain the predictive curve
 198 for each period; hence, it cannot reveal the actual local site conditions for different seismic

199 stations. For this reason, as it is shown in Figures 4 and 5, the intra-event residuals of the derived
200 event-specific GMPE [Eq. (1)] were calculated for each ground motion station to reflect the
201 specific site-to-source distance measure (R_{rup}) and local site conditions (V_{s30}). In order to
202 quantitatively identify the trend of residuals against R_{rup} and V_{s30} , a linear regression model is
203 applied (Azarbakht *et al.*, 2014). The p-values for testing the null hypothesis about the likely
204 existence or nonexistence of bias in estimations are shown on the upper left corner of Figures 4
205 and 5. According to the determined p-values [A4], the residuals show no clear trend for R_{rup} and
206 V_{s30} through the available ranges, which emphasize that the obtained constant values in Table 3
207 provide an acceptable representation of the 2012 Ahar-Varzaghan dual earthquakes dataset.

208 The comparison of the standard deviation from the derived event-specific model of 2012
209 Ahar earthquake events, by means of regression analyses and the four intra-event standard
210 deviations of the NGA GMPEs, are shown in Figure 6. In the short period range, less than about
211 1.0 s, the standard deviations of the event-specific GMPE [Eq. (1)] and NGA GMPEs are
212 practically the same and show good compatibility with each other. However, in the long-period
213 range, beyond 1.0 s, the event-specific model has larger standard deviation in comparison with
214 the NGA models.

215

216 Comparison of event-specific model with the NGA GMPEs

217 Figure 7 shows the comparison of the observed spectral accelerations, i.e. PGA, SA
218 ($T=0.5, 1.0, 2.0$ s, 5% damping) with the median predictions from the four NGA GMPEs in the
219 case of $V_{s30}=300$ and 750 m/s, respectively. As it is clear in Figure 7, for $T=0.0$, the observed
220 spectral ordinates are under-predicted by the NGA GMPEs at R_{rup} less than 50 km and over-

221 predicted at Rrup beyond 50 km. This trend is observed at soil sites with Vs30 less than 375 m/s
222 and rock sites with Vs30 more than 375 m/s. Furthermore, Figure 7 shows that, for the rest of
223 periods (T=0.5, 1.0, and 2.0 s) and Vs30 less than 375 m/s, the observed spectral accelerations
224 are over-predicted by the NGA GMPEs. For rock site and period equal to 0.5 s, the AS08 and
225 CY08 models, respectively, over-predicts and under-predicts the spectral ordinates and the CB08
226 and BA08 models show good compatibility with the event specific trend. For T=1.0 s,
227 specifically for sites with Vs30 less than 375 m/s, the NGA GMPEs over-predict the spectral
228 ordinates. On the other hand, for rock sites the NGA GMPEs under-predict the spectral ordinates
229 in the range of Rrup more than 30 km in the case of the CB08 and CY08 models and Rrup more
230 than 100 km in the case of the BA08 and AS08 models. Finally, for T=2.0 s for soil sites, the
231 NGA GMPEs predict approximately the same trends except the BA08 model that over-predict
232 the spectral ordinates. Also, for rock sites, the observed spectral accelerations at T=2.0 s are
233 meaningfully under-predicted by the NGA GMPEs. On closer scrutiny, the NGA GMPEs
234 predictions are more compatible with the observed spectral accelerations at rock sites with Vs30
235 beyond 375 m/s.

236

237 **Comparison of residuals with the NGA GMPEs**

238 The comparison of intra-event residuals versus Rrup and Vs30 are, respectively, shown in
239 the case of PGA in Figures 8 and 9. As abovementioned, for comparing the trend of residuals
240 versus Rrup and Vs30, a linear regression model was applied. The mentioned p-values for the
241 case of PGA in Figures 4 and 5 are comparable with Figures 8 and 9. As seen in Figure 8, partly
242 positive intra-event residuals between (and about) 10 and 50 km were observed in the case of the

243 CB08 model which means the CB08 model under-predicts the observed spectral accelerations.
244 However, the CB08 model is faced to comparatively negative intra-event residuals (over-predicts
245 the observed spectral accelerations) between 50 and 200 km. Furthermore, the AS08 follow the
246 same trend between 10 and 100 km. Moreover, the BA08 model tends to have a pronounced
247 negative trend versus Rrup. Among the NGA GMPEs, the CY08 model is more stable with
248 higher p-value; nonetheless, it has positive intra-event residuals with respect to Rrup as seen in
249 Figure 8. By comparison between Figure 4(a) and Figure 8, the NGA GMPEs show more bias, in
250 the case of PGA, against the event-specific GMPE model of the 2012 Ahar-Varzaghan
251 earthquakes versus Rrup.

252 Figure 9 shows the distribution of intra-event residuals versus Vs30 from 175 m/s to 1400
253 m/s for PGA. In general, with comparison Figure 5(a) in the case of PGA, except CY08 model,
254 the observed spectral accelerations are over-predicted by the NGA GMPEs at Vs30 less than
255 ~750 m/s. However, they are under-predicted [AS] at Vs30 beyond almost ~750 m/s. Also, it is
256 observed that the intra-event residuals show more bias with respect to Vs30 than with the Rrup
257 parameter.

258 The intra-event residuals versus spectral periods are shown in Figure 10. As seen in Figure
259 10, the period dependence of the intra-event residuals reveals that the AS08 model has better
260 estimation than the other NGA GMPEs. Moreover, the BA08 and CB08 models over-predict the
261 spectral ordinates for periods less than 0.5 s. On the other hand, CY08 completely under-predict
262 the spectral ordinates.

263

264 Goodness-of-Fit Measures

265 Goodness-of-fit statistics were utilized in order to quantify the resemblance between the
 266 model predictions with the observed ground-motion records. The first employed statistical
 267 method, as part of the comparison in this study, is the Nash-Sutcliffe model efficiency coefficient
 268 [A6](Nash and Sutcliffe, 1970, Yaghmaei-Sabegh, 2012). The E value is given in Eq. (2):

$$E = \left[1 - \frac{\sum_{i=1}^N (Ln Y_i - Ln \hat{Y}_i)^2}{\sum_{i=1}^N (Ln Y_i - \overline{Ln Y})^2} \right] \times 100\% \quad (2)$$

269 where N is the total number of ground-motion predictions, the observed values (PGA, SA, etc.)
 270 are denoted by Y_i , the predicted median values are denoted by \hat{Y}_i , and the mean of the logarithms
 271 of the observed values is denoted by $\overline{Ln Y}$. The higher values of E reveal better agreement
 272 between observations and predictions.

273 This criterion was applied to strong-motion records from the 2012 Ahar-Varzaghan and
 274 1997 Ardebil events. As mentioned before, the 1997 Mw 6.1 Ardebil earthquake is the only well-
 275 recorded large earthquake ($M > 6$), before the Ahar-Varzaghan dual events, since installation of
 276 strong-motion network in theregion. Hereafter, 14 strong-motion records from this well-recorded
 277 event were added to the database in order to increase the robustness of results (see Figure 1,
 278 Table 2 and Appendix Table A1 for details).

279 The results of the E values are shown in Table 4 for the representative periods $T=0.0, 0.5,$
 280 $1.0,$ and 2.0 s. The NGA GMPEs show good compatibility at short to medium periods ($T < 1.0$ s)
 281 with the data recorded during the Ahar-Varzaghan dual and Ardebil earthquakes; also the AS08
 282 model is ranked first among the other models.

283 Although, the study of intra-event residuals distribution and the coefficient of efficiency
 284 (E) adequately quantify the prediction accuracy of the NGA GMPEs, it does not take into
 285 consideration the standard deviation of the model (Kaklamanos and Baise, 2011). Therefore, two
 286 additional statistical approaches are applied in order to evaluate the NGA GMPEs i.e. the LH and
 287 LLH methods (Scherbaum *et al.*, 2004 and 2009). The median LH value based on the likelihood
 288 method, which has been introduced by Scherbaum *et al.* (2004), was used to assess how well the
 289 aleatory variability (sigma) of the observations is predicted by the nominated GMPEs. The LH
 290 value for a single ground-motion prediction, by assumption of zero mean and unit variance, is
 291 given in Eq. (3):

$$LH(|Z_0|) = \text{Erf}\left(\frac{|Z_0|}{\sqrt{2}}, \infty\right) = 1 - \text{Erf}\left(\frac{|Z_0|}{\sqrt{2}}\right) \quad (3)$$

$$\text{Erf}(Z_0) = \frac{2}{\sqrt{\pi}} \int_0^{Z_0} e^{-t^2} dt \quad (4)$$

292 where $\text{Erf}(|Z_0|)$ is the error function, given by Eq. (4) and Z_0 is the normalized model residual. As
 293 the ground-motion models are commonly expressed as the natural logarithmic quantities, the
 294 residual is defined as the subtraction of the natural logarithmic-model predictions from the
 295 natural logarithms of the observed values, divided by the corresponding standard deviations of
 296 the natural logarithmic model:

$$r = \frac{\text{Ln}(SA_{obs}) - \text{Ln}(SA_{pre})}{\sigma_{SA}} \quad (5)$$

297 where, SA_{obs} corresponds to the observed acceleration response spectra in a specified period, and
 298 SA_{pre} and σ_{SA} are the mean and the standard deviation of the predicted response spectra,

299 respectively, by using a given ground motion model. Ideally, the defined residual is normally
300 distributed with zero mean and unit variance. If the model assumptions (normalized residuals
301 having zero mean and unit variance) are matched exactly, the LH values for a subset of
302 predictions should be uniformly distributed between 0 and 100 percent. If the sample distribution
303 follows a perfect standard normal distribution with the zero mean and the unit variance, then, the
304 corresponding LH has median value approaches 50%. By using the LH distribution in
305 combination with a few simple goodness-of-fit measures, Scherbaum *et al.* (2004) have proposed
306 a sufficient description to judge on the capability of different GMPEs to match with an existing
307 data set. In this case, the GMPEs are categorized into four main categories, i.e. A, B, C, and D
308 according to this scheme (see Table 5 for details).

309 In this paper, for the above-mentioned three best-recorded earthquakes in north-west Iran,
310 the LH method has been applied in order to rank the NGA GMPEs into four classes i.e. A, B, C,
311 and D by using the intra-event residuals as well as the intra-event standard deviations. The
312 results of the LH values are shown in Table 6 for the selected periods $T=0.0, 0.5, 1.0,$ and 2.0 s.

313 The goodness-of-fit-measures in this approach are: the median LH values, the median,
314 mean and standard deviation of the normalized residuals which are, respectively abbreviated as
315 MEDLH, MEDNR, MEANNR, and STDNR in this paper. For determining the corresponding
316 standard deviations of these measures (σ) the bootstrap technique through data re-sampling was
317 performed (Efron and Tibshirani, 1993). By using these measures and based on the scheme
318 presented in Table 5, the NGA GMPEs were ranked in the categories A, B, C, or D in the third
319 column of Table 6.

320 The analysis of the results in Table 6, for all periods, indicates that the NGA GMPEs are
321 ranked as A and B in short periods ($T \leq 1.0$ s) which indicates good compatibility between the

322 NGA GMPEs and the recorded data of the Ahar-Varzaghan dual and Ardebil events in north-
 323 west of Iran.

324 Furthermore, the LLH criterion as an information-theoretic based approach, which has
 325 been introduced by Scherbaum *et al.* (2009), was used in order to compare the predictive
 326 capabilities of the NGA models. The average sample log likelihood (LLH) has been calculated
 327 for each of the considered periods, one by one using Eq. (6). Rankings of the ground motion
 328 models according to the mean LLH values are presented in Table 7 for different periods.
 329 Additionally, in order to express what degree the data support or reject a model with respect to
 330 the state of non-informativeness, data support index (DSI) is applied by Eq. (7) (Scherbaum *et*
 331 *al.*, 2009; Delavaud *et al.*, 2012). Tables 7 and 8 [A7] present the results of the LLH values and
 332 compatible weights to the NGA GMPEs, respectively.

$$LLH(g, x) := -\frac{1}{N} \sum_{i=1}^N \log_2(g(x_i)) \quad [A8] \quad (6)$$

$$DSI_i = 100 \frac{w_i - w_{unif}}{w_{unif}} \quad (7)$$

$$w_i = \frac{2^{-LLH(g_i, x)}}{\sum_{j=1}^K 2^{-LLH(g_j, x)}} \quad [A9] \quad (8)$$

333 [A10] in Eq. (7), $w_{unif} = \frac{1}{M}$ and M is the number of GMPEs. The results of the LLH
 334 criterion are precisely comparable [A11] with the results of the E values. The AS08 model which is
 335 located in top levels of the ranking by the E and LLH methods belongs to category A and B in
 336 short to medium periods based on the LH results; however, the obtained results to some extent
 337 are different from some previous studies (see e.g. Yaghmaei-Sabegh 2012). On the other hand,

338 the BA08 and AS08 models are ranked as the most appropriate predictive models for the three
339 studied events.

340 The NGA models were developed for distances less than 200 km; therefore, using them
341 beyond this range is not appropriate. Moreover, using the NGA GMPEs for $R > 70$ km results in
342 an unrealistic attenuation rate for different seismic regions that have quality factors (Q factor)
343 differs from that of the *host region*. In this study, in order to have more informative residuals
344 analysis, the dataset was separated into two distance bins: 0 – 70 km, and 70 – 200 km; with
345 respect to this point, the LH method was independently applied for four the NGA GMPEs on the
346 both mentioned subsets. On closer scrutiny, the results show relatively good consistency of the
347 selected GMPEs at both short and large distances. The statistical measurements of the LH values
348 for different periods ($T = 0.0, 0.5, 1.0,$ and 2.0 s) are shown in Tables 9 and 10, respectively. A
349 comparison between Tables 9 and 10 demonstrates that the NGA GMPEs result in more realistic
350 outputs for large distances ($R_{rup} > 70$ km), in the case of short periods. However, for short
351 distances ($R_{rup} < 70$ km) the LH results, just for a finite range of periods, are acceptable and in
352 the most of periods do not show adaptable results.

353

354 **Discussions and Conclusions**

355 The analysis of the residuals, versus different seismic parameters, between the observed
356 spectral accelerations and the median predictions of spectral accelerations by the NGA GMPEs
357 have formed a foundation to obtain logical judgment on the performance of the NGA GMPEs for
358 the 2012 Ahar-Varzaghan dual earthquakes. The interpretation of the predictions by the NGA
359 GMPEs confirms that the observed spectral acceleration for soil sites with V_{s30} less than 375

360 m/s are generally over-predicted (except PGA). It is worth to mention that, generally, the median
361 predictions of spectral accelerations by the NGA GMPEs for rock sites with Vs30 larger than
362 375 m/s are close to each other, and provide better estimations than in the case of soil sites. In this
363 study, both the distance and the shear wave velocity treatments were investigated by assessing
364 trends of intra-event residuals versus distance measure (R_{rup}) and site conditions (V_{s30}). The
365 negative trend through the intra-event residuals versus R_{rup} indicates that, by increasing the
366 distance measure, the NGA GMPEs models (except CY08) over-predict the spectral ordinates.
367 The positive trend of the intra-event residuals versus V_{s30} also indicates that, by increasing
368 V_{s30} , the NGA GMPEs models under-predict the spectral ordinates. Moreover, the Nash-
369 Sutcliffe coefficient of efficiency, LH, and LLH methods were used as robust schemes to
370 examine the performance of the NGA GMPEs against the recordings from the 2012 Ahar-
371 Varzaghan dual earthquakes and 1997 Ardebil earthquake. The LH method indicates that the
372 NGA GMPEs for short periods are almost ranked as A and B models. Moreover, the results of
373 LLH criterion are precisely comparable with the results of E values. Accordingly, the NGA
374 GMPEs show good compatibility along with the 2012 Ahar-Varzaghan earthquake and 1997
375 Ardebil earthquake events for short periods. It is worth mentioning that several previous
376 publications have shown that the adequacy between a model and observations depends on the
377 period considered (see e.g. Beauval *et al.* 2012, Delavaud *et al.* 2012). Also, in order to study the
378 path effects, more specifically, the database was divided into short distance ($R_{rup} < 70$ km) and
379 distances larger than 70 km. The misfits of the NGA GMPEs are clear in both cases as seen in
380 Tables 9 and 10. At distances larger than 70 km, we know that there are strong regional
381 differences in the attenuation and the NGA models was intended to be applicable to the western
382 US (mainly California). However, it is worth to say that some studies have shown similarity

383 between attenuation characteristics of two regions (i.e. California and Iran; see Nuttli 1980;
384 Chandra *et al.* 1979). Therefore, it is not surprising that we have found a slightly better
385 compatibility between the NGA GMPEs and our database at larger distances ($R_{rup} > 70$ km)
386 where the event-specific source effects became weaker and the local attenuation characteristics
387 of the region dominate the behavior of recorded motions.

388 Finally, as a considerable number of papers have been devoted to the study of applicability
389 of GMPEs to various seismotectonic regions (e.g. Douglas, 2004; Stafford *et al.*, 2008; Scasserra
390 *et al.*, 2009; Delavaud *et al.*, 2012; Massa *et al.*, 2012, Mousavi *et al.*, 2012), it is possible to
391 compare the results of these studies with those obtained here. Douglas (2004) found more rapid
392 distance attenuation in Europe than California by using the approach called analysis of variance.
393 Stafford *et al.* (2008), based upon the application of the likelihood approach of Scherbaum *et al.*
394 (2004), claimed that for most engineering applications, the NGA models may confidently be
395 applied within Europe. Scasserra *et al.* (2009), by adopting a method in which specific attributes
396 of the GMPE (in particular magnitude scaling, distance scaling, intra-event dispersion, and site
397 effects) are examined relative to the data, found that the magnitude scaling implied by the Italian
398 data is compatible with four NGA relations. However, the Italian data seems to attenuate faster
399 than implied by the four NGA GMPEs at short periods (see also Massa *et al.*, 2012). On the basis
400 of these findings, they recommended to use the NGA relationships, with minor modifications, to
401 evaluate ground motions for seismic hazard analysis in Italy. More recently, the ability of 11
402 GMPEs to predict ground-motion in different active shallow crustal regions worldwide have
403 been investigated by Delavaud *et al.* (2012). One of the results of their study is that some
404 nonindigenous models present a high degree of consistency with the data from a target region.

405 In this context, regarding the issue of the applicability of the NGA GMPEs to north-west
406 of Iran (a region outside their zone of origin or host region) it is difficult to draw a general
407 conclusion, keeping in mind that this is a limited test using data from only three well-recorded
408 events of approximately similar size. During evaluation of predictive capabilities of the NGA
409 models for past earthquakes, there is also a large variability in the average residual for individual
410 earthquakes (inter-event residual) which should be considered (see e.g. Boore and Atkinson
411 2007). The issue eventually can be solved as more comprehensive data became available for the
412 region.

413 **Data and Resources**

414 The ground motion records were provided by the Building and Housing Research Centre
415 (BHRC), Iran (<http://www.bhrc.ac.ir/portal/>, last accessed December 2012). The Global Centroid
416 Moment Tensor Project database was searched using www.globalcmt.org/CMTsearch.html (last
417 accessed December 2012). Regarding the crustal structure of the region, the Global Crustal
418 Model CRUST2.0 has been used (Institute of Geophysics and Planetary Physics, The University
419 of California, San Diego; 2001. <http://igppweb.ucsd.edu/~gabi/rem.html>, last accessed December
420 2012).

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427

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550

551 **Figure Captions**

552 **Figure 1.** Focal mechanisms, epicentre locations (stars) and strong-motion stations used in the
553 current study (triangles) within 200 km of the rupture plane for the 2012 Ahar-Varzaghan dual
554 earthquakes. Strong-motion stations that recorded the 1997 Ardebil earthquake are also shown
555 by square symbols. The trace of the Ahar fault is also shown. Stations with generic Vs30 values
556 are shown in gray.

557 **Figure 2.** Vs30 - distance distribution of recordings from the 2012 Ahar-Varzaghan dual
558 earthquakes data.

559 **Figure 3.** Comparison of median prediction from the event-specific GMPE with the spectral
560 accelerations from the 2012 Ahar-Varzaghan earthquake versus R_{rup} for (a) PGA, (b) $T=0.5s$,
561 (c) $T=1.0s$, and (d) $T=2.0s$.

562 **Figure 4.** Dependence of intra-event residuals on R_{rup} for (a) PGA, (b) $T=0.5s$, (c) $T=1.0s$, and
563 (d) $T=2.0s$.

564 **Figure 5.** Dependence of intra-event residuals on V_{s30} for (a) PGA, (b) $T=0.5s$, (c) $T=1.0s$, and
565 (d) $T=2.0s$.

566 **Figure 6.** Comparison of the standard deviations from the obtained event-specific GMPE by GA
567 with those from the four NGA GMPEs ($M_w = 6.35$).

568 **Figure 7.** Comparison of median predictions of (a) PGA, (b) $T=0.5s$, (c) $T=1.0s$, and (d) $T=2.0s$
569 spectral acceleration from NGA GMPEs plotted with $V_{s30} = 300$ m/s (Left), and $V_{s30} = 750$
570 m/s (Right). For comparison purposes, the median predictions of the event-specific GMPE are
571 plotted as well.

572 **Figure 8.** Plots of intra-event residuals with respect to R_{rup} for PGA for the four NGA GMPEs.

573 **Figure 9.** Plots of intra-event residuals with respect to V_{s30} for PGA for the four NGA GMPEs.

574 **Figure 10.** Plots of intra-event residuals with respect to periods for the four NGA GMPEs.